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PATENT & TRADEMARK OFFICE

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PATENT
Customer No. 22,852
Attorney Docket No. 08098.0012

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	
Dennis MENDIOLA et al.	Group Art Unit: 2161
Serial No.: 09/878,338	Examiner: To Be Assigned
Filed: June 12, 2001	
For: A TRADING AND AUCTION SYSTEM, AND METHODS FOR THE AUTHENTICATION OF BUYERS AND SELLERS AND THE TRANSMISSION OF TRADING INSTRUCTIONS IN A TRADING AND AUCTION SYSTEM	

RECEIVED
JAN 11 2002
Technology Center 2100

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

SECOND PRELIMINARY AMENDMENT

Prior to the examination of the above application, please amend this application as follows:

IN THE CLAIMS:

Please amend claims 5-7, 12-14, 20-21, 25-26, and 33-34, as follows:

5. (Amended) A method as claimed in claim 1, wherein said wireless device is a GSM device with SMS capability, said wireless device being serviced by a GSM network including a SMSC server to control and manage SMS to and from said

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